



# LETTER OF ACCEPTANCE

A Letter of Acceptance indicates IRA Financial's acceptance of asset(s) that are to be rolled over from a qualified retirement plan. Complete this form if you would like a Letter of Acceptance to be drafted by IRA Financial Trust Company. If your Custodian requires a Letter of Acceptance to move funds or assets from a Traditional, Roth, SEP or SIMPLE IRA, please complete our TRANSFER AUTHORIZATION form. If you have any questions about which type of form you should be completing, please contact us.

Return by mail: 221 South Phillips Avenue, Suite 206, Sioux Falls, SD, 57104  
Fax: 605-415-4296 | E-mail: info@IRAFinancialGroup.com For inquiries, call: 800-472-1043 or visit IRAFINANCIALTRUST.COM

## A. REQUESTOR INFORMATION

Request Date (mm/dd/yy): \_\_\_\_\_

This Form Completed by: Name: \_\_\_\_\_  
Firm: \_\_\_\_\_  
Phone: \_\_\_\_\_  
Fax: \_\_\_\_\_  
Email: \_\_\_\_\_

## B. CLIENT INFORMATION

Client Name: \_\_\_\_\_ Last four digits of SSN: \_\_\_\_\_

Client's IRA Financial Trust Company Account Number: \_\_\_\_\_

Type of Account at IRA Financial: Traditional Roth SEP SIMPLE

## C. CUSTODIAN INFORMATION

Current IRA Custodian/Plan Administrator Name: \_\_\_\_\_

Current IRA Custodian/Plan Administrator Address: \_\_\_\_\_

Client's Account Number at Current IRA Custodian/Plan Administrator: \_\_\_\_\_

Client is rolling over: Cash only Non-cash assets\* only Both cash and non-cash assets\*

\* If rolling over non-cash assets in-kind, be sure to include an account statement with this form.

Type of IRA/Retirement Plan at Current Custodian:

401k 403b 457 Keogh

Pension Plan (Name: \_\_\_\_\_)

Profit Sharing Plan (Name: \_\_\_\_\_)

Defined Benefit Plan (Name: \_\_\_\_\_)

Other (Please specify: \_\_\_\_\_)

## D. FORWARDING AND OTHER INFORMATION

Client wants funds sent to IRA Financial via: Check Wire (Incoming wire fee applies; see our [FEE SCHEDULE & FINANCIAL DISCLOSURE](#))

To whom and where should the LOA be forwarded?

Name: \_\_\_\_\_

Firm: \_\_\_\_\_

Send by (Select up to two (2) options):

Mail to the following address: \_\_\_\_\_

Fax to: \_\_\_\_\_

Email to: \_\_\_\_\_